

Express Mail No. EL897871146US PTO/SB/21 (08-00) Approved for use through 10/31/2002. OMB 0651-0031 Please type a plus sign (+) inside this box -> + U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE der the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. 09/939,293 Application Number Filing Date August 24, 2001 Emad S. Alnemri FORM First Named Inventor 1632 **Group Art Unit** ised for all correspondence after initial filing) **Examiner Name** Not Yet Assigned 480140.465 Attorney Docket No. · ENCLOSURES (check all that apply) CD(s), Number Fee Transmittal Form **Assignment Papers** of CD(s) (for an Application) Fee Attached Drawing(s) Amendment/Response After Allowance Request for Corrected Filing After Final Communication to Group Receipt Appeal Communication to Affidavits/declaration(s) Licensing-related Papers Board of Appeals and Extension of Time Request Petition Interferences **Express Abandonment** Appeal Communication to Petition to Convert to a Request **Provisional Application** Group (Appeal Notice, Brief, Information Disclosure Reply Brief) Power of Attorney, Statement; Form PTO-1449 Revocation, Change of **Proprietary Information** Cited References (11) Correspondence Address Status Letter Certified Copy of Priority Declaration (Copy) Return Postcard Document(s) Statement under 37 CFR Additional Enclosure(s) Response to Missing Parts 3.73(b) (please identify below): under 37 C.F.R. 1.52 or 1.53 Terminal Disclaimer Supplemental Response to Response to Missing Notice to File Missing Parts of Small Entity Statement Parts/Incomplete Application Application; Copy of Part 2 of Request for Refund Notice to File Missing Parts of Application; Application Data Sheet (initial) Remarks SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Individual Name William T. Christiansen, Ph.D. 00500 PATENT TRADEMARK OFFICE

February 19, 2002

Signature

Date



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Emad S. Alnemri

MAR 1 9 2002

Application No.

09/939,293

Filed

August 24, 2001

**TECH CENTER 1600/2900** 

For

AN IAP BINDING PEPTIDE OR POLYPEPTIDE AND METHODS

OF USING THE SAME

Art Unit

1632

Docket No.

480140.465

Date

February 19, 2002

**Box Missing Parts** Commissioner for Patents Washington, DC 20231

## SUPPLEMENTAL RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

## Commissioner for Patents:

The Applicant responded to the Notice to File Missing Parts on January 17, 2002. The Patent Office determined that the response was proper and has forwarded the application to an examining group. However, the Applicant has noted that the Declaration filed needed an Application Data Sheet to complete the inventor residence information. Accordingly, the Applicant herewith submits a copy of the Declaration and an initial Application Data Sheet in order to comply with 37 C.F.R. § 1.63(a)(3). If the Patent Office determines that an extension of time is appropriate, the undersigned herewith gives the Patent Office authority to charge deposit account 19-1090 the appropriate extension fees (two month extension of time of \$400-\$110 already paid =\$290).

Copy of Oath/Declaration

Copy of Notice to File Missing Parts

X **Application Data Sheet** 

Respectfully submitted,

Seed Intellectual Property Law Group PLLC

William T. Christiansen, Ph.D.

Registration No. 44,614

**FORMALITIES LETTER** 

OC0000000069344444°



ates Patent and Trademark Office

COMMISSIONER FOR PATENTS UNITED STATES PATENT AND TRADEMARK OFFICE WASHINGTON, D.C. 20231

www.uspto.gov

APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

09/939.293

08/24/2001

Emad S. Alnemri

480140.465

**CONFIRMATION NO. 2539** 

00500 SEED INTELLECTUAL PROPERTY LAW GROUPPECEIVED

701 FIFTH AVE **SUITE 6300** 

SEATTLE, WA 98104-7092

OCT 2 3 2001

SEED INTELLECTUAL PROPERTY LAW GROUP PLLC

Date Mailed: 10/19/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION ECFIVED

FILED UNDER 37 CFR 1.53(b)

MAR 1 9 2002

Filing Date Granted

**TECH CENTER 1600/2900** 

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing. Applicant must submit \$ 710 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$2408.
  - \$1368 for 76 total claims over 20.
  - \$1040 for 13 independent claims over 3.
- The oath or declaration is missing. A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(I) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 3248.

A copy of this notice MUST be returned with the reply.

**Customer Service Center** 

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE